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SECO	ND 6	HODE	.EMENTAL	Application Number	10/820,144
				Filing Date	April 8, 2004
			DISCLOSURE	First Named Inventor	CHANG, Esther H.
STATI			APPLICANT	Art Unit	1632
	(Use as	many she	els as necessary)	Examiner Name	Shin-Lin Chen
Sheet	1	of	1	Attorney Docket Number	2474.0070003/BJD/JKM

			U.S. PATENT DO	CUMENTS		
	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,	
initials	No.¹	Number-Kind Code ^{2 (If Known)}	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	
	USI	5,108,921	04/28/1992	Low et al.		
	US2	5,139,941	08/18/1992	Muzyczka et al.		
	US3	5,288,641	02/22/1994	Roizman		
	US4	5,378,457	01/03/1995	Paoletti et al.		
	US5	5,416,016	05/16/1995	Low et al.		
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	US7	5,547,932	08/20/1996	Curiel et al.		
	US8	5,635,382	06/03/1997	Low et al.		
	US9	5,762,938	06/09/1998	Paoletti et al.		
	US10	5,833,975	11/10/1998	Paoletti et al.		

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Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or	
					Relevant Figures Appear	Τ ⁶
	FPI	WO 92/06180	04/16/1992	Univ. of Connecticut		
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OFOON	- CUDD		TAITAI	Application Number	10/820,144	
SECON				Filing Date	April 8, 2004	
			LOSURE	First Named Inventor	CHANG, Esther H.	
			PLICANT	Art Unit	1632	
	(Use as many	sheets a	s necessary)	Examiner Name	Shin-Lin Chen	
Sheet	1	of	8	Attorney Docket Number	2474.0070003/BJD/JKM	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	NPL1	Alberts. B., et al., "The Receptors for Most Growth Factors are Transmembrane Tyrosine-Specific Protein Kinases." Chapter 15: Cell Signaling in Molecular Biology of the Cell, Robertson, M., and Adams, R., eds., Grandland Publishing, New York, NY. P. 760 (1994)	
	NPL2	Aisen, P., "Transferrin, the Transferrin Receptor, and the Uptake of Iron by Cells," <i>Met. Ions Biol. Syst.</i> 35:585-631, Dekker. (1998)	
	NPL3	Antony, A.C., et al., "Folate Receptors," Annu. Rev. Nutr. 16:501-521, Annual Reviews Inc. (1996)	
	NPL4	Asagari, K., et al., "Inhibition of the Growth of Pre-Established Subcutaneous Tumor Nodules of Human Prostate Cancer Cells by Single Injection of the Recombinant Adenovirus p53 Expression Vector," <i>Int. J. Cancer</i> 71:377-382, Wiley-Liss, Inc. (1997)	
	NPL5	Awan, A.M., et al., "Recent Advances in Radiation Therapy for Head and Neck Cancer," Hematol. Oncol. Clin. North Am. 5:635-655, W.B. Saunders Company (1991)	
	NPL6	Baselga, J. and Mendelsohn, J., "Receptor Blockade with Monoclonal Antibodies as Anti-Cancer Therapy," <i>Pharmac. Ther.</i> 64:127-154, Elsevier Science Ltd. (1994)	
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•	NPL8	Bischoff, J.R. et al., "An Adenovirus Mutant That Replicates Selectively in p53- Deficient Human Tumor Cells," <i>Science 274</i> :373-376, American Association for the Advancement of Science (1996)	
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	NPL10	Bristow, R.G., et al., "The p53 gene as a modifier of intrinsic radiosensitivity: implications for radiotherapy," Radiother. Oncol. 40:197-223, Elsevier Science Ireland Ltd. (1996)	
Examiner		Date	

Examiner Date Considered Signature

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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute	for form 1	449/P	то		Com	Complete if Known		
CECO	ND CI	IDE	N E 846	ENITAL	Application Number	10/820,144		
SECOND SUPPLEMENTAL					Filing Date	April 8, 2004		
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				First Named Inventor	CHANG, Esther H.		
STATI					Art Unit	1632		
				s necessary)	Examiner Name	Shin-Lin Chen		
Sheet		2	of	8	Attorney Docket Number	2474.0070003/BJD/JKM		
NPL11 Liposome-Mediated Ge				ome-Mediated	ptor Ligand-Facilated Gene Tran Gene Transfer and Expression b ary Ann Liebert, Inc. (1996)			

Examiner	Date	
Signature	Considered	

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449/PTO Complete if Known 10/820,144 Application Number SECOND SUPPLEMENTAL Filing Date April 8, 2004 INFORMATION DISCLOSURE First Named Inventor CHANG, Esther H. STATEMENT BY APPLICANT Art Unit 1632 (Use as many sheets as necessary) **Examiner Name** Shin-Lin Chen

Attorney Docket Number

Sheet

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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T ²
	NPL12	Clayman, G.L., et al., "In Vivo Molecular Therapy with p53 Adenovirus for Microscopic Residual Head and Neck Squamous Carcinoma," Cancer Res. 55:1-6, American Association for Cancer Research (1995)	
	NPL13	Cotten, M., et al., "High-efficiency receptor-mediated delivery of small and large 48 kilobase gene constructs using the endosome-disruption activity of defective or chemically inactivated adenovirus particles," <i>Proc. Natl. Acad. Sci. USA</i> 89:6094-6098, The National Academy of Sciences (1992)	ì
•	NPL14	Couffinhal, T., et al., "Histochemical Staining Following LacZ Gene Transfer Underestimates Transfection Efficiency," Hum. Gene Ther. 8:929-934, Mary Ann Liebert, Inc. (1997)	
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Date	
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Substitute for	form 1449/P7	ro		Com	Complete if Known		
SECON	D CLIDD	I = 840	ENITAL	Application Number	10/820,144		
				Filing Date	April 8, 2004		
			LOSURE	First Named Inventor	CHANG, Esther H.		
			PLICANT	Art Unit	1632		
(Use as many sheets as necessary)				Examiner Name	Shin-Lin Chen		
Sheet	4	of	8	Attorney Docket Number	2474.0070003/BJD/JKM		

· <u>··</u> ····		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T ²
	NPL21	Gottschalk, S., et al., "Folate receptor mediated DNA delivery into tumor cells: potosomal disruption results in enhanced gene expression," Gene Ther. 1:185-191, Nature Publishing Group (1994)	
	NPL22	Goud, B., et al., "Antibody-Mediated Binding of a Murine Ecotropic Moloney Retroviral Vector to Human Cells Allows Internalization But Not the Establishment of the Proviral State," Virol. 163:251-254, Academic Press, Inc. (1988)	
	NPL23	Hall, A.R., et al., "p53-dependent cell death/apoptosis is required for a productive adenovirus infection," Nat. Med. 4:1066-1072, Nature Publishing Company (1998)	
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Examiner	Date	
Signature	Considered	

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Substitute for	form 1449/PT	o		Con	nplete if Known
CECOND CUDDI EMENTAL				Application Number	10/820,144
SECOND SUPPLEMENTAL		Filing Date	April 8, 2004		
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT			First Named Inventor	CHANG, Esther H.
				Art Unit	1632
	(Use as many	sheets a	s necessary)	Examiner Name	Shin-Lin Chen
Sheet 5 of 8				Attorney Docket Number	2474.0070003/ВЈД/ЈКМ

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T ²
	NPL30	Kirn, D., et al., "ONYX-015: Clinical data are encouraging," Nat. Med. 4:1341-1342, Nature America Inc. (1998)	
	NPL31	Kuerbitz, S.J., et al., "Wild-type p53 is a cell cycle checkpoint determinant following irradiation," <i>Proc. Natl. Acad. Sci. USA 89</i> : 7491-7495, The National Academy of Sciences (1992)	
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CECON		BAT	TALTAL	Application Number	10/820,144		
SECOND SUPPLEMENTAL INFORMATION DISCLOSURE				Filing Date	April 8, 2004		
				First Named Inventor	CHANG, Esther H.		
_	STATEMENT BY APPLICANT			Art Unit	1632		
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Sheet	6	of	8	Attorney Docket Number	2474.0070003/BJD/JKM		

		NON PATENT LITERATURE DOCUMENTS	
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	NPL39	Miyamoto, T., et al., "Transferrin receptor in oral tumors," Intl. J. Oral Maxillofac. Surg. 23:430-433, Munksgaard (1994)	
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	NPL45	Roux, P., et al., "A versatile and potentially general approach to the targeting of specific cell types by retroviruses: Application to the infection of human cells by means of major histocompatibility complex class I and class II antigens by mouse ecotropic murine leukemia virus-derived viruses," Proc. Natl. Acad. Sci. USA 86:9079-9083, The National Academy of Sciences (1989)	
	NPL46	Schwarzenberger, P., et al., "Receptor-Targeted Recombinant Adenovirus Conglomerates: a Novel Molecular Conjugate Vector with Improved Expression Characteristics," J. Virol 71:8563-8571, American Society for Microbiology (1997)	

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Substitute for form 1449/PTO	Com	plete if Known
SECOND SUPPLEMENTAL	Application Number	10/820,144
	Filing Date	April 8, 2004
INFORMATION DISCLOSURE	First Named Inventor	CHANG, Esther H.
STATEMENT BY APPLICANT	Art Unit	1632
(Use as many sheets as necessary)	Examiner Name	Shin-Lin Chen
Sheet 7 of 8	Attorney Docket Number	2474.0070003/BJD/JKM

		Non Patent Literature Documents	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T ²
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SECOND SUPPLEMENTAL		Filing Date	April 8, 2004				
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	STATEMENT BY APPLICANT			Art Unit	1632		
(Use as many sheets as necessary) Sheet 8 of 8				Examiner Name	Shin-Lin Chen		
				Attorney Docket Number	2474.0070003/BJD/JKM		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T²
	NPL57	Weichselbaum, R.R., et al., "Radioresistant Tumor Cells are Present in Head and Neck Carcinomas That Recur After Radiotherapy," Int. J. Radiat. Oncol. Biol. Phys. 15:575-579, Pregamon Press (1988)	
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